

Attorney Docket No.: IR-2908 (EV)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jolly, et al.

Group Art Unit:

3683

Assignee:

Lord Corporation

Examiner:

Butler, Douglas C.

Serial No.:

10/037,118

Filed:

10/25/2001

For:

"Brake With Field Responsive Material"

August 9,2004

Assistant Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with the duty of disclosure under 37 CFR §2.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO-1449 form and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

In accordance with § 1.97 (c) (2) applicants are paying the \$180.00 fee set forth in § 1.17 (p).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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Respectfully submitted,

Edward F. Murphy III
Attorney for Applicant
Registration No. 38, 251

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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date indicated below with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents, Mail Stop Amendment PO Box 1450, Alexandria, VA 22313-1450, with sufficient postage as first class mail (37 CFR 1.8(a)).

Scott Dominy

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August 9, 2004

Date

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Sheet 1

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/037,118		
Filing Date	OCTOBER 25,2001		
First Named Inventor	MARK R. JOLLY		
Art Unit	3683		
Examiner Name	DOUGLAS C. BUTLER		
Attorney Docket Number	IR-2908 (F\/)		

				DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,373,465	04-16-2002	Jolly et al.	
		^{US-} 6,339,419	01-15-2002	Jolly et al.	
		US-			RECEIVE
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		US-			AUG 1 7 2004
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		US-			
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FOREIGN PATENT DOCUMENTS						
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'
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Examiner Signature	Date Consider	ed .
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.